

SOLUTIONS

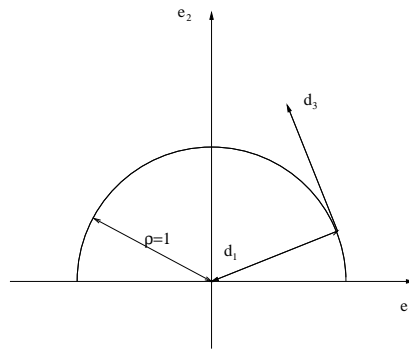
1 Configurations and Equilibria of an Extensible Shearable Rod

Kinematics

- 1.(a) Either by direct calculation, or by observation from the sketch of the configuration, one has

$$\begin{Bmatrix} v_1 \\ v_2 \\ v_3 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \\ 1 + \epsilon \end{Bmatrix} \quad \text{and} \quad \begin{Bmatrix} u_1 \\ u_2 \\ u_3 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 1 \\ 0 \end{Bmatrix} \quad (1.1)$$

where $v_i = \mathbf{v} \cdot \mathbf{d}_i$ and $u_i = \mathbf{u} \cdot \mathbf{d}_i$.



- (b) In this case there are essentially two possibilities to compute the Euler parameters. For the direct computation we refer to the solution of Exercise 2 (Helical curves)(d). We will then obtain the result in exactly the same way as carried out there, where \mathbf{Q} is now given by

$\mathbf{Q} = [\mathbf{d}_1, \mathbf{d}_2, \mathbf{d}_3]$: For $(q_1, q_2, q_3)^T \in \ker(\mathbf{Q} - \mathbf{Q}^T) = \text{span}\{((\cos(s) - 1)/\sin(s), 1, 1)^T\}$ we get

$$\begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = C \begin{pmatrix} \cos(s) - 1 \\ \sin(s) \\ \sin(s) \end{pmatrix}, \quad (1.2)$$

$$C^2 = \frac{3 + \cos(s)}{4(3 - 2\cos(s) - \cos^2(s))},$$

and for q_4 we have the relation

$$q_4^2 = \frac{1 - \cos(s)}{4}.$$

We now have determined q_4 and (q_1, q_2, q_3) up to the signs. The sign of q_4 can be chosen free but the choice will then fix the sign of (q_1, q_2, q_3) due to $\mathbf{d}_i(\mathbf{q}) = \mathbf{d}_i(-\mathbf{q})$.

We could equivalently compute a unit axis of rotation $\mathbf{k} = (k_1, k_2, k_3)^T$ and the corresponding rotation angle ϕ in order to express \mathbf{q} which is then given by

$$q_i = k_i \sin(\phi/2), \quad i = 1, 2, 3, \quad q_4 = \cos(\phi/2).$$

But \mathbf{k} is given by normalizing the vector on the RHS of (1.2). Therefore

$$\mathbf{k} = \frac{1}{\sqrt{(1 - \cos(s))^2 + 2\sin^2(s)}} \begin{pmatrix} \cos(s) - 1 \\ \sin(s) \\ \sin(s) \end{pmatrix}. \quad (1.3)$$

The angle ϕ is computed by using the identity $1 + 2\cos\phi = \text{tr}(\mathbf{Q})$ which immediately provides us with

$$\phi = \pm \arccos(-1/2(1 + \cos(s))).$$

The correct sign is simply obtained by verifying the results. In so doing, we take $s = \pi/2$ and verify the result for the third component of $\mathbf{d}_2(\mathbf{q}(s))$. We then obtain that ϕ must be given by $\phi = -\arccos(-1/2(1 + \cos(s)))$. Note that the wrong sign will provide us with the rotation matrix \mathbf{Q}^{-1} instead of \mathbf{Q} . We could also choose $-\mathbf{k}$ for the axis of rotation where the corresponding angle then is $-\phi$. This is due to

$$\begin{aligned} q_i &= -k_i \sin(-\phi/2) = k_i \sin(\phi/2), & i = 1, 2, 3, \\ q_4 &= \cos(-\phi/2) = \cos(\phi/2). \end{aligned}$$

Second possibility: Composition of Rotation Matrices We only sketch the idea of the method which is based on the following: Suppose that the rotation matrix $\mathbf{Q} = [\mathbf{d}_1, \mathbf{d}_2, \mathbf{d}_3]$ with rotation axis \mathbf{k} and rotation angle ϕ is composed by two rotation matrices \mathbf{Q}_1 and \mathbf{Q}_2 , that is,

$$\mathbf{Q}(\mathbf{k}, \phi) = \mathbf{Q}_2(\mathbf{k}_2, \phi_2) \mathbf{Q}_1(\mathbf{k}_1, \phi_1), \quad (1.4)$$

where the expression in the brackets denote the corresponding (unique) rotation axis and rotation angle, i.e., \mathbf{Q}_i , $i = 1, 2$ represents rotation around the axis \mathbf{k}_i with angle ϕ_i . It is shown in a paper of DICHMANN¹ that a straightforward calculation then leads to expressions for \mathbf{k} and ϕ if \mathbf{k}_i, ϕ_i for $i = 1, 2$ are known.

We restrict to the computation of \mathbf{Q}_i and \mathbf{k}_i, ϕ_i for $i = 1, 2$ here. For the computation of \mathbf{k} and ϕ by means of $\mathbf{k}_i, i = 1, 2$ and $\phi_i, i = 1, 2$ we refer to the article of DICHMANN. A careful inspection of the directors frame $\{\mathbf{d}_i(s)\}$ reveals that we basically have two reasonable possibilities to define a composition of rotation matrices. The key step is the definition of a rotation matrix \mathbf{P} that gives a permutation of the fixed basis $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ such that \mathbf{e}_2 is mapped to \mathbf{e}_3 . These assumptions immediately lead to

$$\mathbf{P} = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}.$$

Now, we can either choose $\mathbf{P} = \mathbf{Q}_1$ or $\mathbf{P} = \mathbf{Q}_2$ in order to obtain the decomposition (1.4), for $\mathbf{Q}\mathbf{P}^{-1}$ as well as $\mathbf{P}^{-1}\mathbf{Q}$ are rotation matrices. Explicitly:

$$\mathbf{Q}(\mathbf{k}, \phi) = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} -\sin(s) & 0 & \cos(s) \\ 0 & 1 & 0 \\ -\cos(s) & 0 & -\sin(s) \end{pmatrix}, \quad (1.5)$$

$$\mathbf{Q}(\mathbf{k}, \phi) = \underbrace{\begin{pmatrix} -\sin(s) & -\cos(s) & 0 \\ \cos(s) & -\sin(s) & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{=\mathbf{Q}\mathbf{P}^{-1}=: \mathbf{R}(\mathbf{k}_1, \phi_1)} \underbrace{\begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}}_{\mathbf{P}(\mathbf{k}_2, \phi_2)}. \quad (1.6)$$

¹Donald J. Dichmann, Notes on the Mechanics of Rigid Body Rotations, Quaternions and some Associated Mathematics

We will restrict to the second equality (1.6) and state the rotation axes \mathbf{k}_1 and \mathbf{k}_2 as well as the rotation angles ϕ_1 and ϕ_2 corresponding to $\mathbf{R} = \mathbf{Q}\mathbf{P}^{-1}$ and \mathbf{P} .

Rotation axis and angle of \mathbf{P} : It is easy to see that $\mathbf{P}\mathbf{1} = \mathbf{1}$ where $\mathbf{1}$ denotes the vector where every component is 1. Therefore, we have

$$\mathbf{k}_1 = \frac{1}{\sqrt{3}}\mathbf{1}.$$

The angle of rotation will then be given by

$$\text{tr}(\mathbf{P}) = 1 + 2 \cos \phi_1 = 0 \quad \Rightarrow \quad \phi_1 = \pm \arccos(-1/2).$$

Verifying the results we easily obtain $\phi_1 = \arccos(-1/2)$.

Rotation axis and angle of \mathbf{R} : We immediately obtain $\mathbf{R}(0, 0, 1)^T = (0, 0, 1)^T$ such that

$$\mathbf{k}_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix},$$

and the angle ϕ_2 is given by

$$\text{tr}(\mathbf{R}) = 1 + 2 \cos \phi_2 = 1 - 2 \sin(s) \quad \Rightarrow \quad \phi_2 = \pm \arccos(-\sin(s)).$$

Verifying the results we easily obtain $\phi_2 = \arccos(-\sin(s))$.

Balance laws

2.(a) For the natural configuration $\{\hat{\mathbf{r}}, \hat{\mathbf{d}}_i\}$ we find

$$\begin{pmatrix} \hat{v}_1 \\ \hat{v}_2 \\ \hat{v}_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} \hat{u}_1 \\ \hat{u}_2 \\ \hat{u}_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix},$$

where $\hat{v}_i = \hat{\mathbf{v}} \cdot \hat{\mathbf{d}}_i$ and $\hat{u}_i = \hat{\mathbf{u}} \cdot \hat{\mathbf{d}}_i$. Using the results from 1.(a) we then obtain

$$\begin{aligned} \mathbf{n}(s) &= \epsilon G_3 \mathbf{d}_3(s), \\ \mathbf{m}(s) &= K_2 \mathbf{d}_2(s). \end{aligned}$$

(b) Assuming $\boldsymbol{\tau} \equiv \mathbf{0}$, a configuration $\{\mathbf{r}, \mathbf{d}_i\}$ is an equilibrium (with $\{\hat{\mathbf{r}}, \hat{\mathbf{d}}_i\}$ serving as the reference) if

$$\mathbf{n}' = -\mathbf{f} \quad \text{and} \quad \mathbf{m}' + \mathbf{r}' \times \mathbf{n} = 0, \quad \text{for all } s \in (0, \pi).$$

One can easily verify that the configuration is an equilibrium for the radial force

$$\mathbf{f}(s) = -\epsilon G_3 \mathbf{d}_1(s).$$

Moreover, the endforce \mathbf{g} and the end moment \mathbf{h} at $s = 0, \pi$ required to maintain this equilibrium are

$$\begin{aligned} \mathbf{g}(0) &= -\mathbf{n}(0) = -\epsilon G_3 \mathbf{e}_2, & \mathbf{g}(\pi) &= \mathbf{n}(\pi) = -\epsilon G_3 \mathbf{e}_2 \\ \mathbf{h}(0) &= -\mathbf{m}(0) = -K_2 \mathbf{e}_3, & \mathbf{h}(\pi) &= \mathbf{m}(\pi) = -K_2 \mathbf{e}_3. \end{aligned}$$

2 Helical curves

(a) By definition arclength s is such that

$$\left| \frac{d\mathbf{r}(s)}{ds} \right| = 1 \quad (2.1)$$

so clearly the parameter t is not arc-length, as

$$\left| \frac{d\mathbf{r}(t)}{dt} \right| = \sqrt{R^2 + P^2}. \quad (2.2)$$

Using the chain rule

$$\frac{d\mathbf{r}}{dt} = \frac{d\mathbf{r}}{ds} \frac{ds}{dt}, \quad (2.3)$$

and solving the resulting differential equation

$$\left| \frac{ds}{dt} \right| = \sqrt{R^2 + P^2}, \quad (2.4)$$

we easily get that s arc-length is

$$s = \sqrt{R^2 + P^2} t, \quad (2.5)$$

where the constant of integration is chosen such that $s = 0$ corresponds to $t = 0$. Finally we then have the new arclength parametrization which reads

$$\mathbf{r}(s) = \left(R \cos \frac{s}{\alpha}, R \sin \frac{s}{\alpha}, P \frac{s}{\alpha} \right) \quad (2.6)$$

where $\alpha = \sqrt{R^2 + P^2}$. Note that in general,

$$s(t) = \int_0^t \frac{dr}{dt}(\tau) d\tau, \quad (2.7)$$

but that in this example the quadrature can be carried out explicitly to obtain (2.5) because $\sqrt{R^2 + P^2}$ is a constant along the helix.

- (b) The Frenet-Serret frame is an intrinsic framing, given by the tangent $\mathbf{T}(s)$ to the curvilinear $\mathbf{r}(s)$, the principal normal $\mathbf{N}(s)$ defined via $\mathbf{T}'(s)$, and the binormal $\mathbf{B}(s)$. The following equivalences hold

$$\mathbf{T}(s) = \frac{d\mathbf{r}}{ds} \quad (2.8)$$

$$\mathbf{T}'(s) = \frac{d\mathbf{T}(s)}{ds} = \kappa(s)\mathbf{N}(s) \quad (2.9)$$

where the curvature $\kappa(s)$ is a non negative scalar, and $\mathbf{N}(s)$ is the principal normal, which is well defined as soon as $\mathbf{T}'(s)$ does not vanish at any s . Then $\mathbf{B}(s)$ is found as $\mathbf{T}(s) \times \mathbf{N}(s)$. The (geometrical) torsion can be extracted from the equations

$$[\mathbf{NBT}]' = [\mathbf{NBT}] \begin{pmatrix} 0 & -\tau & \kappa \\ \tau & 0 & 0 \\ -\kappa & 0 & 0 \end{pmatrix} \quad (2.10)$$

It is then easy to check that on a helix

$$\mathbf{T}(s) = \left(-\frac{R}{\alpha} \sin \frac{s}{\alpha}, \frac{R}{\alpha} \cos \frac{s}{\alpha}, \frac{P}{\alpha} \right) \quad (2.11)$$

$$\mathbf{N}(s) = \left(-\cos \frac{s}{\alpha}, -\sin \frac{s}{\alpha}, 0 \right) \quad (2.12)$$

$$\mathbf{B}(s) = \left(\frac{P}{\alpha} \sin \frac{s}{\alpha}, -\frac{P}{\alpha} \cos \frac{s}{\alpha}, \frac{R}{\alpha} \right), \quad (2.13)$$

and that

$$\kappa = \frac{R}{\alpha^2} \quad (2.14)$$

$$\tau = \frac{P}{\alpha^2} \quad (2.15)$$

- (c) By construction of the Frenet equations (2.10), it should be clear that the curvature and the torsion are the only non-vanishing components of the Darboux vector \mathbf{u} expressed in the Frenet frame $\{\mathbf{N}, \mathbf{B}, \mathbf{T}\}$, specifically $\mathbf{u} = \kappa\mathbf{B} + \tau\mathbf{T}$, which is true for any curve whatsoever. For the helix after substitution of the previously computed specific forms of κ , \mathbf{B} , τ , and \mathbf{T} we find that $\mathbf{u} = \frac{1}{\alpha}\mathbf{e}_z$, which is a very special property of the helix resulting from symmetry of rotation about the z -axis.
- (d) We first make some remarks.

- The Darboux vector \mathbf{u} is the axial vector of a skew matrix \mathbf{S} , given by

$$\mathbf{S} = \mathbf{Q}'(s)\mathbf{Q}^T(s). \quad (2.16)$$

It represents the infinitesimal change, or ‘angular velocity’ of the rotation matrix $\mathbf{Q}(s)$ along the one-parameter family of rotation matrices parametrized by s . The Darboux vector \mathbf{u} has no relation with the axial vector of the skew matrix $\tilde{\mathbf{S}}$

$$\tilde{\mathbf{S}} = \mathbf{Q}(s) - \mathbf{Q}^T(s), \quad (2.17)$$

defined at each fixed value of s . At each s , $\tilde{\mathbf{S}}(s)$ determines the Euler axis of rotation of the given rotation matrix $\mathbf{Q}(s)$ as described in Session 1.

- The quaternion parametrization is particular in the fact it gives immediately the axis of rotation, as the first three components (q_1, q_2, q_3) define this axis up to a constant, i.e. $\mathbf{Q}\mathbf{k} = \mathbf{k}$ if $\mathbf{k} = (q_1, q_2, q_3)^{T2}$, and the angle of rotation up to a sign as $q_4^2 = \cos^2 \theta/2$.

Having said this, we can proceed in finding the quaternion parametrization for $t = \pi$ (note that t is not arc-length!). Bearing in mind that in the Frenet frame $\mathbf{Q} = [NBT]$, for $t = \pi$ we have

$$\mathbf{Q} - \mathbf{Q}^T = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -2R/\alpha \\ 0 & 2R/\alpha & 0 \end{pmatrix} \quad (2.18)$$

Therefore the axial vector of $\mathbf{Q} - \mathbf{Q}^T$ is some $\mathbf{z} = (2R/\alpha, 0, 0)^T$, and since the axis of rotation is a unit vector parallel to \mathbf{z} , we have that

$$\text{axis of rotation} = \mathbf{w} = (1, 0, 0)^T \quad (2.19)$$

so that

$$\mathbf{k} = (q_1, q_2, q_3)^T = C\mathbf{w} \quad (2.20)$$

where C is a constant. Moreover, still in $t = \pi$,

$$\text{tr}[\mathbf{Q}] = 1 + 2 \cos \theta = 1 + 2 \frac{P}{\alpha} \quad (2.21)$$

²The axis of rotation is a unit vector whereas \mathbf{k} in general has the norm fixed by the condition $\mathbf{q} \cdot \mathbf{q} = 1$, so typically it will be $\mathbf{k} = \pm \sin \theta/2 \mathbf{w}$ where \mathbf{w} is the axis of rotation, i.e. $\|\mathbf{w}\| = 1$

which in turn implies

$$q_4^2 = \frac{1 + \frac{P}{\alpha}}{2}. \quad (2.22)$$

Finally then from the normalization condition on \mathbf{q} , we get

$$C^2 = 1 - q_4^2 = \frac{1 - \frac{P}{\alpha}}{2}. \quad (2.23)$$

Now, we have determined q_4 and $\mathbf{k} = (q_1, q_2, q_3)^T$ up to the signs. Recalling that $\mathbf{q} = (q_1, q_2, q_3, q_4)^T$ and $-\mathbf{q}$ define the same rotation matrix we can choose q_4 to be

$$q_4 = \sqrt{\frac{1 + \frac{P}{\alpha}}{2}} \quad \text{or} \quad q_4 = -\sqrt{\frac{1 + \frac{P}{\alpha}}{2}},$$

but the choice of the sign of q_4 will determine the sign of \mathbf{k} . Thus, let us choose

$$q_4 = \sqrt{\frac{1 + \frac{P}{\alpha}}{2}}.$$

Then, we find that

$$C = -\sqrt{\frac{1 - \frac{P}{\alpha}}{2}},$$

which can be verified by calculating $B(s = \alpha\pi)$ in terms of \mathbf{q} and comparing the result³ to (2.13).

3 Transformation of Cross Products

(a) Consider a vector $\mathbf{v} \in \mathbb{R}^3$. Then we have for any two vectors $\mathbf{a}, \mathbf{b} \in \mathbb{R}^3$

$$\mathbf{v} \cdot (\mathbf{a} \times \mathbf{b}) = \det([\mathbf{v}, \mathbf{a}, \mathbf{b}]).$$

³For

$$C = \sqrt{\frac{1 - \frac{P}{\alpha}}{2}}$$

we obtain for the third component B_3 of B the expression $B_3 = \frac{-R}{\alpha}$ which contradicts (2.13).

Therefore,

$$\begin{aligned}
 \mathbf{v} \cdot (\mathbf{A}\mathbf{a} \times \mathbf{A}\mathbf{b}) &= \det([\mathbf{v}, \mathbf{A}\mathbf{a}, \mathbf{A}\mathbf{b}]) \\
 &= \det(\mathbf{A}[\mathbf{A}^{-1}\mathbf{v}, \mathbf{a}, \mathbf{b}]) \\
 &= \det(\mathbf{A}) \det([\mathbf{A}^{-1}\mathbf{v}, \mathbf{a}, \mathbf{b}]) \\
 &= \det(\mathbf{A}) (\mathbf{A}^{-1}\mathbf{v} \cdot (\mathbf{a} \times \mathbf{b})) \\
 &= \det(\mathbf{A}) (\mathbf{v} \mathbf{A}^{-T}(\mathbf{a} \times \mathbf{b})) \\
 &= \mathbf{v} \cdot (\det(\mathbf{A}) \mathbf{A}^{-T}(\mathbf{a} \times \mathbf{b})).
 \end{aligned}$$

This is true for any $\mathbf{v} \in \mathbb{R}^3$. Therefore,

$$\mathbf{A}\mathbf{a} \times \mathbf{A}\mathbf{b} = \det(\mathbf{A}) \mathbf{A}^{-T}(\mathbf{a} \times \mathbf{b}).$$

(b) For an orthogonal matrix $\mathbf{Q} \in \text{SO}(3)$, $\det(\mathbf{Q}) = 1$ and $\mathbf{Q} = \mathbf{Q}^{-T}$.